

DEPARTMENT OF FISH AND GAME
LAKE AND STREAMBED ALTERATION AGREEMENT PROGRAM

FEE PROPOSAL ANALYSIS

Purpose

The Legislature enacted Fish and Game Code sections 1600–1616¹ to protect and conserve the state’s fish and wildlife resources, which it declared to be of utmost public interest. Section 1609 requires the Department of Fish and Game (“Department”) to charge fees to pay for the costs it incurs to administer and enforce sections 1600–1616, including, but not limited to, processing notifications for lake and streambed alteration agreements (“agreements”), preparing agreements, and conducting inspections (collectively, “program costs”). In order to charge such fees, the Department must establish a graduated schedule of fees. Section 1609 further mandates that the fees charged pay the Department’s *total* program costs. The Department’s existing fee schedule is in section 699.5 of title 14 of the California Code of Regulations.

On January 1, 2004, legislation that repealed sections 1600–1607 and added sections 1600–1616 took effect (S.B. 418, Ch. 736). Section 1609 in the new legislation replaced former section 1607. Those two sections are identical with two exceptions. First, unlike former section 1607, section 1609 requires the fee schedule to be *graduated*. Second, under former section 1607 the total fee the Department could impose for any agreement (“fee cap”) was \$2,400. Section 1609 increased the fee cap to \$5,000, and specifies that the “fee cap” applies only to regular agreements (i.e., agreements with a term of five years or less) and not to long-term agreements (i.e., agreements with a term greater than five years).

Since 2000, the Department’s Lake and Streambed Alteration Program (“program”) has incurred a budget shortfall of approximately \$1.4 million each year, which, as of June 30, 2003, had accumulated to \$4,482,987. Hence, since that time, the Department has not been in compliance with the mandate in section 1609 that the Department recover the total costs it incurs to administer and enforce sections 1600–1616 by charging fees. The shortfall has also affected other Department programs and activities because staff who work in those programs and other fund sources have been used to support the program.

The purpose of this analysis is to determine the fees the Department needs to charge applicants for agreements to recover its total program costs and its cumulative shortfall. Determining those fees will enable the Department to restructure the fee schedule to recover its program costs and cumulative shortfall. Restructuring the fee

¹ All section references are to the Fish and Game Code unless otherwise specified.

schedule will also allow the Department to make the fee schedule current with the legislation that went into effect on January 1, 2004.

Issue

What changes need to be made to the fee schedule to: 1) pay for the total costs the Department incurs on an annual basis to administer and enforce sections 1600–1616, as required by section 1609; 2) eliminate the program’s cumulative shortfall; and 3) make the fee schedule current with the legislation that went into effect on January 1, 2004?

Background

Lake and Streambed Alteration Program

Section 1602 requires any entity to notify the Department before conducting an activity that will substantially divert or obstruct the natural flow of any river, stream or lake; substantially change or use material from the bed, channel, or bank of any river, stream, or lake; or deposit or dispose of debris, waste or other material containing crumbled, flaked, or ground pavement where it may pass into any river, stream or lake. An entity notifies the Department by submitting a complete application (“notification”) and the appropriate fee based on the Department’s fee schedule. Based on the information in the notification and any onsite inspection it conducts, the Department must determine whether an agreement is required for the proposed activity. An agreement will be required if the Department concludes that the proposed activity could adversely affect a fish or wildlife resource. In that case, the Department will submit a draft agreement to the entity that includes conditions necessary to protect fish and wildlife resources.

After the entity signs the draft agreement and returns it, the Department will sign the agreement after it complies with the California Environmental Quality Act (“CEQA”), thereby making it final. Before May 1999, the Department did not always comply with CEQA before issuing a final agreement. However, as of May 1, 1999, the Department has had to comply with CEQA before issuing a final agreement pursuant to an order issued by the Mendocino County Superior Court.

Prior to May 1, 1999, Department wardens in many instances prepared and issued agreements, sometimes in the field, to applicants. Beginning May 1, 1999, the Department shifted that responsibility to Environmental Scientists. (Department wardens still prepare draft agreements in some instances, but those agreements are reviewed and finalized by Environmental Scientists who are also responsible for handling CEQA compliance). The Department changed its practice of relying on Department wardens to prepare and issue agreements largely due to the court’s order that the Department comply with CEQA before issuing agreements. In order to do so, the Department needed to have staff with appropriate scientific and technical backgrounds to review notifications, conduct onsite inspections, prepare or review draft agreements, and prepare or review CEQA documents.

The Department initially added 22.5 Environmental Scientists to the program and took a number of steps in an effort to standardize the program, train program staff across a number of disciplines (e.g., biology, hydrology, engineering, and watershed assessment), and modernize the program by, for example, developing a database to better “track” notifications and agreements and assess projects. All of those actions have allowed the Department to administer and enforce the program more efficiently. Although Environmental Scientists are primarily responsible for reviewing notifications, preparing agreements, and complying with CEQA, they may require the assistance of other Department staff in a variety of classifications with different backgrounds depending on the type of activity being proposed. The various staff classifications include wardens, biologists, hydraulic engineers, fluvial geomorphologists, attorneys, staff services analysts, and clerical, administrative, and management staff. The type of activity being proposed also dictates the amount of time needed before the Department issues a final agreement. Generally speaking, the larger and more expensive a project is, the greater the amount of time and resources needed to prepare and issue a final agreement. However, even smaller, less expensive projects can be demanding if they occur in sensitive habitats or are controversial. Monitoring and enforcing agreements are also time-consuming and place additional burdens on both enforcement and non-enforcement staff. The difficulty in properly administering and enforcing such a complex program is aggravated by the average 2,900 notifications the Department must process in any given year statewide.

Existing Fee Schedule

The existing fee schedule is in section 699.5 of title 14 of the California Code of Regulations (Exhibit A). The Legislature authorized the Department to charge applicants fees beginning in Fiscal Year 1982-83 to meet *half* of its program costs. In 1991, former section 1607 was amended to require the Department to charge fees to meet its *total* program costs. In response, the Department amended the fee schedule in July 1991 on an interim basis, and again in May 1992. In March 2000, the Department amended the fee schedule again by increasing all the fees 16.75%. The Department made that minor increase to account for inflation. Hence, the Department has not substantively increased the fees in the fee schedule for twelve years.

Budget Shortfall

Based on a review and analysis of Department staff time and workloads relating to the program, the Department determined that fund sources outside the program have been subsidizing the program approximately \$1.4 million per year. Department staff outside the program have assisted in reviewing notifications and preparing agreements. This allowed the Department to continue protecting the state’s fish and wildlife resources and allow applicants to complete their projects. Funds from other programs are no longer available to subsidize the program due to obvious budget constraints the Department, like other state agencies, is experiencing. Hence, the Department has concluded that the only way to correct this problem is to increase fees, and at the same

time continue its efforts to make the program more efficient by, for example, entering into long-term agreements which were authorized under the new legislation, developing general agreements for certain types of activities, training, and further standardizing and modernizing the program.

Scope

This analysis examines the Department's aggregate costs to issue the specific types of agreements described in each fee category in the fee schedule (e.g., routine maintenance, timber operations, and gravel operations) and for distributing the fee increases across the existing and newly created fee categories. The Department conducted a survey of program staff and those familiar with the program. The purpose of the survey was to give Department staff the opportunity to provide recommendations on how to increase program efficiencies and to better serve applicants. The results from the survey were used in formulating some of the structural changes to the fee schedule, including the addition of new fee categories.

Study Methodology

The Department relied on the following, data, information, and documents to determine the changes that need to be made to the fee schedule to pay for the total costs the Department incurs to administer and enforce sections 1600–1616, as required by section 1609, and to eliminate the program's cumulative shortfall (\$4,482,987 as of June 30, 2003):

- Personal communications and interviews with program and other Department staff
- The existing fee schedule (Exhibit A)
- Program costs from the last three fiscal years (Exhibit B) as derived from CalStars, the Department's accounting database
- The total number of notifications processed by the Department in the last three fiscal years (Exhibit C), developed from workload reports provided by each of the Department's six Regional Offices
- The 200.14 Fund Condition Reports for the last three complete fiscal years (i.e., up to June 30, 2003) (Exhibit D). The 200.14 Fund is where the program revenue from notification fees is deposited.

The Department followed the steps below to determine the new fee categories and fee levels within each category needed to pay for the total costs the Department incurs to administer and enforce sections 1600–1616, as required by section 1609, and to eliminate the program’s cumulative shortfall:

1. Determine present day costs. The Department reviewed the last three fiscal years of expenditure data. Expenditures were determined by adding those funds identified in the 200.14 Fund Condition Reports (Exhibit D) to program expenditures determined from employee time sheets, CalStars, and other related expenditures that were used to support the program but were not charged to the program, such as assistance by Department engineers and other specialists and for specialized training of program staff. Expenditures included, but were not limited to, staff time at all levels and classifications, operating and equipment costs, travel, transportation, contracts, outside subsidies (for training and assistance), and overhead.
2. Determine revenues generated by existing fees. This information was extracted from the 200.14 Fund Condition Reports (Exhibit D).
3. Calculate the difference between revenue and expenditures. This was done by subtracting program revenues (step 2) from program expenditures. The difference is the amount the Department needs to recover by increasing fees and adding and amending existing fee categories.
4. Determine the fee categories that need to be added or amended in the fee schedule. The Department used the existing fee schedule to determine the fee categories. The Department added new fee categories (e.g., status reports) based on the new legislation that went into effect on January 1, 2004 (S.B. 418, Ch. 736). The Department also reviewed field activities recorded on timesheets by Department staff and solicited input from Department program and non-program staff.
5. Determine the specific fees in each fee category. After determining the fee categories that needed to be added to the fee schedule and those existing categories that needed to be amended, the Department increased or added the fees in each category so that, based on the average number of notifications the Department processed in the last three fiscal years, the revenue generated would be sufficient to pay for the total costs the Department incurs to administer and enforce sections 1600–1616, as required by section 1609, and eliminate the program’s cumulative shortfall. In doing so, the Department distributed the fees within the project category for regular agreements to make them more graduated. (See Exhibit E.)

6. Prepare the final proposed fee schedule. Based on the above, the Department prepared the final proposed fee schedule, which was then reviewed internally. The Department did not increase the fees in the existing fee schedule on an even percentage basis, as it did in March 2000 when it adjusted every fee 16.75% for inflation. The Department determined that fees for certain types of projects were historically understated compared to their impact on fish and wildlife resources and the amount of time staff must spend to prepare and issue agreements for those projects. Those projects are gravel operations, timber harvesting, and large construction projects. As a result, the Department increased the fees in those fee categories by the greatest percentage in the proposed fee schedule. The fees in the other fee categories were increased by a smaller percentage, with projects costing less than 5,000 and gravel operations extracting less than 1000 cubic yards of gravel per year receiving the smallest increase. (See Exhibit F for a comparison of the existing and proposed fee schedules.)

Analysis and Findings

Known Data Elements

- Interim information from the Department's Cost Accumulation System (Expenditure Report by Activity) shows that the total statewide expenditures for the program for Fiscal Years ("FY") 2000-01, 2001-02, and 2002-03 exceeded revenues by approximately \$1.4 million in each FY. Total costs include direct salaries, benefits, distributed operating expenses and equipment, enforcement, and distributed administration.
- Monthly counts of notifications processed by program staff in each region (Exhibit C).

Methodology for Calculating the Fees Included in the Proposed Fee Schedule

Using expenditure and revenue information from FY 2002-03, which was similar to FY 2000-01 and FY 2001-02, the Department made the calculations below to develop the proposed fee schedule.

Definitions:

- *Reported Expenditures* were obtained from time sheets and accounting documents.
- *Other Related Expenditures* were those used to support the program but were not charged to the program, e.g., assistance by specialists, such as engineers, and training.
- *Reported Expenditures* were added to *Other Related Expenditures* to calculate *Total Program Costs*.

- *Revenue* was derived from the 200.14 Fund Condition Reports.
- *Projected Revenues* includes revenues that the Department would collect from the proposed fee schedule assuming the number of notifications the Department receives are equivalent to those received in the last three years.
- *Program Costs* are subtracted from the new *Projected Revenues* to give a balance used to pay off the fund imbalance, resulting in the *Estimated Balance* of \$0 over a three year period.

Estimated Balance:

Reported Expenditures:	\$3,096,829 (from FY 2002-03 actual)
Other Related Expenditures*:	<u>\$ 269,216</u> (from FY 2002-03 actual)
Total Program Costs:	\$3,366,045
Revenue:	<u>\$1,400,343</u> (from FY 2002-03 actual)
Balance (shortfall)	(\$1,965,702)
Projected Revenue:	\$4,828,475 (from Exhibit E)
Estimated Program Costs:	<u>\$3,366,045</u> (same as FY 2002-03)
200.14 Fund Repayment:	\$1,462,430 (\$4,482,987 ÷ 3 years)
Estimated Balance:	\$0

*Represents activities directly in support of the program but not coded to a specific section 1600-related activity.

Assumptions

This analysis is based on the assumption that the prior three years of work effort (costs) and the contribution by non-program staff is a reasonable representative sample of the program that will remain constant in FY 2004-05. Hence, it is assumed that in the next fiscal year, the Department will process at least 2,900 notifications, which is the average number the Department processed in the last three FYs. The Department also assumed that the present downturn in the state's economy will continue into the next fiscal year.

Discussion and Analysis

Expenditures from the 200.14 Fund Condition Reports indicate that the Department has been collecting significantly less in fees than it spends from the program fund (i.e., funds in the 200.14 Fund only), and that this has resulted in an annual average deficit of approximately \$1.4 million and a cumulative deficit of \$4,482,987. Additionally, at least \$269,216 from other Department programs has been spent annually to help support the program. Furthermore, none of the above values include costs associated with enforcement and monitoring, training, and other unmet program needs. The fee levels in the proposed fee schedule were set to sufficiently

cover projected costs (based on workload in the last three FYs) and to eliminate the cumulative shortfall.

As discussed above, the Department is not presently in compliance with the legislative mandate in section 1609 that it recover the total costs it incurs to administer and enforce sections 1600–1616. In short, the Department needs to make the program self-sufficient, and not dependent on or subsidized by other Department programs. The existing fee schedule is also not current with those code sections added by legislation enacted last year (S.B. 418, Ch. 736) and currently in effect.

The proposed fee schedule represents the Department's first effort since 1992 to recover its total program costs. Although the Department must raise its fees to recover those costs, it is continuing its efforts to reduce those costs by making the program more efficient. These efforts include revising the existing fee schedule to make it current with sections 1600–1616, preparing regulations that implement those code sections, examining options to streamline the processing of notifications and issuance of agreements, implementing an automated tracking system, re-organizing staff, providing additional training, revising forms to ensure that program staff has all the information it needs to evaluate proposed projects, improving time-reporting by staff, and developing long-term agreements with state agencies and other applicants.

EXHIBIT A

EXISTING FEE SCHEDULE

SUBDIVISION 3. GENERAL REGULATIONS.

CHAPTER 3. MISCELLANEOUS

§ 699.5. Fees for Lake/Streambed Alteration Agreements.

(a) 1601 Applications (from Public Agencies) - \$154.00 non-refundable application fee, plus:

(1) No additional fee for projects costing less than \$25,000.

(2) \$618.75 additional processing fee for projects costing from \$25,000 to \$500,000.

(3) \$1,236.50 additional processing fee for projects costing over \$500,000.

(b) 1601 Routine Maintenance Activities (public agencies) if performed under Memorandum of Understanding with the Department of Fish and Game:

(1) \$129.50 each for the first 20 maintenance projects.

(2) \$102.75 each for the second 20 maintenance projects.

(3) \$78.25 each for maintenance projects in excess of 40.

(4) Projects under this subsection pertain to those waterways under prior 1601 agreement upon which public agencies propose to perform routine maintenance; to be submitted at least 30 days prior to commencement of work.

(c) 1603 Applications (private) excluding commercial gravel operations and timber harvest - \$154.00 non-refundable application fee, plus:

(1) No additional fee for private individuals who do the work themselves or projects costing less than \$25,000.

(2) \$618.75 additional processing fee for projects costing \$25,000 to \$500,000.

(3) \$1,236.50 additional processing fee for projects costing over \$500,000.

(d) 1603 Applications - Commercial Gravel Operations

(1) \$618.75 fee per application.

(e) 1603/1606 Applications - Timber Harvest

(1) \$618.75 fee per application with 1 or 2 stream encroachments.

(2) \$773.00 fee per application with 3 or 4 stream encroachments.

(3) \$927.00 fee per application with 5 to 9 stream encroachments.

(4) \$1,031.00 fee per application with 10 or more stream encroachments.

(f) One year time extensions for 1601/1603 agreements, excluding gravel operations, if the project has not changed.

(1) \$127.25 fee per application for renewal of a one year extension.

(2) For the purpose of this subsection, extensions include those agreements which expire before completion of the project and which have no changes in the work described in the original agreement. If the agreement expires prior to a request for an extension, a new notification will be required and all appropriate fees will be charged.

(g) Amendments to 1601/1603 existing agreements.

(1) 50% of the fee of the existing agreement.

(h) Unusual Project Applications. Public or private projects which are unusually extensive and/or protracted, including but not limited to projects that (1) involve more than one departmental administrative region, or (2) involve more than 15 streams (excluding timber harvest applications), shall be charged fees under the following provisions:

(1) The project sponsor shall submit the appropriate application fee required in the above fee schedule. Should this application fee be insufficient to defer the department's costs, then the department shall arrange for a billing schedule to recover the department's additional project-related costs.

NOTE: Authority cited: Section 1607, Fish and Game Code. Reference: Section 1607, Fish and Game Code.

EXHIBIT B

1600 PROGRAM INFORMATION REPORTS

FY 00/01 1600 Program Information
Period July 2000 – June 2001

STATEWIDE

The following CALSTARS information is for FY 00/01. All direct expenditures include Personal Services and Operating Expenses. Overhead is not included and is estimated at 20%.

A. Year End Expenditures

Total Reported Personal Services Expenditures	\$ 2,945,033
Total Reported Encumbrances	\$0
Sub Total	\$2,945,033
Total Estimated Overhead @ 20%	589,007
Grand Total	\$3,534,039
Total Revenue	\$1,603,000
Short-fall	\$1,931,039

B. Program Expenditures

Total Reported Biodiversity Program 20 Expenditures	\$2,632,391	92%
Total Reported Enforcement Program 40 Expenditures	\$203,204	8%
Total Program Expenditures	\$2,835,595	100%

C. Program Hours

Total Reported Biodiversity Program 20 Hours	84,993	76%
Total Reported Enforcement Program 40 Hours	26,253	24%
Total Program Hours	111,246	100%

D. Personal Services Expenditures by Fund Type – 8 Items – 10% or More in Red

0001	General Fund	\$521,860	18%
0140	Ca Environmental License Plate Fund	\$185,252	6%
0200	Fish and Game Preservation Fund (FGPF)	\$2,165,282	75%
0207	Fish and Wildlife Pollution Account	\$26,160	.5%
0235	Public Resources Acct- Cigarette & Tobacco Products Surtax Fund	\$6,967	0%
0384	Salmon and Steelhead Restoration Acct	\$1,119	0%
0890	Federal Trust Fund	\$14,595	0%
0000	Administration	\$23,797	.5%
	Total	\$2,945,032	100%

E. Personal Services Expenditures by Fund 0200 Breakout – 9 Items - 10% or More in Red

0200.01	FGPF Non Dedicated	\$ 235,060	11%
0200.02	Striped Bass Stamp (FGPF)	\$1,613	0%
0200.03	Sea Urchin Resources Enhancement Program (FGPF)	\$14,819	1%
0200.04	CA Ocean Resources Enhancement & Hatchery (FGPF)	\$9,727	0%
0200.08	Augmented Deer Tags (FGPF)	\$8,778	0%
0200.09	State Duck Stamp Account – Dedicated	\$10,942	1%
0200.14	Lake & Streambed Alteration Account – Dedicated	\$1,895,475	86%
0200.25	Wild Pig Account – Dedicated	\$12,262	1%
0200.26	Upland Game Bird Account – Dedicated	\$2,768	0%
	Total	\$2,191,443	100%

F. Program/Element Breakdown – 10% or More in Red

PRGM	ELE	DESCRIPTION	EXPENDITURE	Percent
20	10	Multi-Species and Habitat Conservation Planning	\$2,553,136	97%
20	20	Biodiversity (WL & HBTT) Protection and Restoration	\$79,255	3%
Sub Total			\$2,632,391	100%
40	10	Conservation Education	\$203,204	100%
40	20	Enforcement and Public Safety	\$0	0%
Sub Total			\$203,204	100%
20/10, 20/20 & 40/10, 40/20 Combined		Total	\$2,834,925	96%
Other Programs & Elements		Total	\$110,108	4%
Grand Total			\$2,945,032	100%

G. Personal Services Expenditures by Organization – 12 Items - 10% or More in Red

Administration	\$ 0	0%
Central Coast Region	\$747,947	25%
Eastern Sierra Inland Deserts Region	\$193,241	7%
Habitat Conservation Division	\$106,415	4%
Marine Region	\$7,777	0%
Northern California North Coast Region	\$202,955	7%
Office Spill Prevention and Response	\$11,472	1%
Sacramento Valley Central Sierra Region	\$186,830	6%
San Joaquin Valley Southern Sierra Valley Region	\$152,149	5%
South Coast Region	\$426,373	14%
Bay Delta	\$0	0%
Wildlife and Inland Fisheries Division	\$909,873	31%
Total	\$2,945,032	100.00%

H. Personal Services Expenditures by Activity - 26 Items - 10% or More in Red

123110	Pre-Consultation	\$167,868	6%
123115	Agreement 1601 Public Agencies	\$392,667	13%
123120	Agreement 1601 Public Agencies Routine Maint.	\$43,849	1%
123125	Agreement 1603 Private	\$581,600	20%
123130	Agreement 1603 Gravel	\$41,372	2%
123135	Agreement 1603/1606 Timber Harvest	\$138,168	5%
123140	Agreement 1601/1606 Extensions	\$8,610	0%
123145	Agreement 1601/1606 Amendments	\$11,933	0%
123150	Agreement 1601/1603 Unusual Projects	\$44,899	2%
123155	CEQA Public Review Process – Lead Agency	\$85,936	3%
123160	Monitoring and Compliance	\$52,716	2%
123165	Mitigation Effectiveness Studies	\$547	0%
123170	Direct Clerical Support	\$295,167	10%
123175	General Administration, Operational Planning	\$262,453	9%
123199	Training and Technical Support	\$108,798	4%
421510	Agreement Enforcement Laws & Regulations	\$85,839	3%
421515	Enforce Laws/Regs/Mntgr Pblc Agy Rtne Maintenance	\$10,092	0%
421520	Enforce Laws/Regs/Mntgr – 1603 Private	\$533,918	18%
421525	Enforce Laws/Regs/Mntgr – 1603 Gravel	\$8,637	0%
421530	Enforce Laws/Regs/Mntgr – 1603/1606 Timber Harvest	\$37,553	1%

421535	Enforce Laws/Regs/Mntgr – 1603/1606 Extensions	\$496	0%
421540	Enforce Laws/Regs/Mntgr – 1603/1606 Amendments	\$1,008	0%
421545	Enforce Laws/Regs/Mntgr – 1601/1603 Unusual	\$3,221	0%
421580	Enforce – Gen Admin & Prgm Ops Plng Coord	\$22,779	1%
421595	Prep/Rvw/Rvsmg Policies, Regs, Legltn	\$291	0%
421599	Training & Technical Support	\$4,619	0%
	Total	\$2,945,032	100.00%

I. PCA BREAKDOWN – 10% or More in Red

		Expend	PGM	ELE	
A1000	Multi-Species & HBTT CNSRV – Base F&GPF	\$3,321	20	10	0%
A1001	Multi-Species & HBTT CNSRV – Base GF	\$477,594	20	10	16%
A1014	Multi-Species & HBTT CNSRV – Base ELPF	\$46,618	20	10	2%
A1015	Environmental review ELPF Project	\$41,512	20	10	1%
A1016	Other ELPF Projects	\$16,057	20	10	1%
A1100	Description -Unknown	\$10,679	20	20	0%
A1214	Streambed Alteration Dedicated Account	\$933,402	20	10	32%
A1290	Streambed Alteration Dedicated Account- expired 6/01	\$962,073	20	10	33%
A1404	SFRA Only Stream & Lake – MS & HBTT CNSV	\$2,622	20	10	0%
A1502	USBR/DWR IEP Young Fish Investigation	\$605	20	10	0%
A1714	DWR San Joaquin River MGMT Program Plan	\$29	20	10	0%
A1734	CalTrans-DFG Liaison (Multi_SVC)	\$4,902	20	10	0%
A1748	Delta Flood Project	\$1,969	20	10	0%
A1760	DWR State Water Project	\$3,050	20	10	0%
A1797	CalTrans R9985017	\$48,704	20	10	2%
A2000	BIODVRSTY PRTCTN & RSTRTN – Base F&GPF	\$1,696	20	20	0%
A2001	BIODVRSTY PRTCTN & RSTRTN – Base GF	\$8,877	20	20	0%
A2003	Biological Spill-Aqua Hedionda Lagoon	\$2,689	20	20	0%
A2014	BIODVRSTY PRTCTN & RSTRTN – Base ELPF	\$58,133	20	20	2%
A2070	Salmon and Steelhead Restoration	\$1,119	20	20	0%
A2100	Suisun Resource Conservation	\$84	20	20	0%
A2402	SFRA Stream and Lake	\$3,026	20	20	0%
A2408	SFRA & FG SPRT FSH MGMT – BIODVRSY P/R	\$6,632	20	20	0%
A2412	SFRA CTPS SPRT FSH MGMT & RSRCH LT BCP	\$544	20	20	0%
A2730	Pacific Fiber Link, L.L. C. R9910006	\$284	20	20	0%
B1000	Hunt, SPRT FSH & PBLC Use – Base F&GPF	\$12,650	25	10	0%
B1005	Wildlife Waystation Investigation	\$287	25	10	0%
B1208	AUG DEER Tag Ded Acct – HNTGS{RT FSH/PU	\$8,778	25	10	0%
B1225	Wild Pig Ded Acct – HNTG/SPRT FSH & PU	\$12,262	25	10	0%
B3226	Game Bird HRTG Ded Acct – Hunt Fish Opps	\$2,768	25	30	0%
B3400	SFRA SPT FSH MGRT & RSC – HNTG/FSHG OPPS	\$4,334	25	30	0%
B3404	SFRA SPRT FSH MGMT & RSRCH Proactive FSHRE	\$1,965	25	30	0%
B3406	SFRA & GF SPT FSH MGT – HNTG/FSHG OPPS	\$720	25	30	0%
C1015	Maint of Wildlife Areas ELPF Project	\$2,303	30	10	0%
C1017	ECO Reserves ELPF – Dept Lands & Facilities	\$255	30	10	0%
C1052	Description -Unknown	\$271	30	10	0%
C1209	Duck Stamp DED ACCT – Dept Lands & Facilities	\$10,942	30	10	0%
C2000	HTCHRIES & FSH PLNT FCLTIES – Base F&GPF	\$161	30	20	0%
D1500	Hunter Education Expenditures	\$670	40	10	0%
D2001	ENFRMNT of Laws & REGS – Base Gen FD	\$187	40	20	0%
D2090	MLMA – Enforcement	\$421	40	20	0%
D2100	Law Enforcement – Generally	\$202,534	40	10	7%
D2502	Fall Run Salmon	\$279	40	20	0%
D2700	DWR Spring-Run Salmon Protection	\$71	40	20	0%
E2021	Readiness – Base F&WPA – HZRD MTL ADMIN	\$14,819	50	20	1%
H1001	Description -Unknown	\$474	50	30	0%
H1309	M&A Repair Shop Incident	\$83	50	30	0%
H1367	Triple Pocket Gold Mine Incident	\$1664	50	30	0%

H1437	Description -Unknown	\$226	50	30	0%
H1487	Dawson Canyon Incident	\$190	50	30	0%
H1502	Richard Winter Incident	\$813	50	30	0%
H1519	Kiewit Pacific Incident	\$4,343	50	30	0%
H1525	Thomas Elliot Incident	\$1057	50	30	0%
H1599	EID Webber Creek Incident	\$207	50	30	0%
H1611	Olivas Compost Fire Incident	\$378	50	30	0%
H1625	Denny Love & Cattle Incident	\$414	50	30	0%
H1635	Southern Cal Edison Incident	\$593	50	30	0%
H1642	Ranch River Incident	\$532	50	30	0%
H1643	Hatchet Creek Incident	\$366	50	30	0%
N0300	Support Services – Administration	\$23,797	70	01	1%
	Total	\$2,945,032			100%

J. Program Regional Notifications - 10% or More in Red

Notifications

R1	Northern California North Coast Region	583	20%
R2	Sacramento Valley Central Sierra Region	571	19%
R3	Central Coast Region	1073	36%
R4	San Joaquin Valley Southern Sierra Valley Region	175	6%
R5	South Coast Region	378	12%
R6	Eastern Sierra Inland Deserts Region	196	7%
R7	Marine Region	00.00	0%
	Total	2976	100.00%

FY 01/02 1600 Program Information
Period July 2001 – June 2002

STATEWIDE

The following CALSTARS information is for FY 01/02. All direct expenditures include Personal Services and Operating Expenses. Overhead is not included and is estimated at 20%.

A. Year End Expenditures

Total Reported Personal Services Expenditures	\$3,175,673
Total Reported Encumbrances	\$4,578
Sub Total	\$3,180,250
Total Estimated Overhead @ 20%	\$636,050
Expenditures Grand Total	\$3,818,300
Total Revenue	\$1,377,000
Short-fall	\$2,441,300

B. Program Expenditures

Total Reported Biodiversity Program 20 Expenditures	\$2,991,744	96%
Total Reported Enforcement Program 40 Expenditures	\$ 118,102	4%
Total Program Expenditures	\$3,109,846	100.00%

C. Program Hours

Total Reported Biodiversity Program 20 Hours	91,992	84%
Total Reported Enforcement Program 40 Hours	17,477	16%
Total Program Hours	109,469	100.00%

D. Personal Services Expenditures by Fund Type – 9 Items – 10% or More in Red

0001	General Fund	\$1,010,628	32%
0140	Ca Environmental License Plate Fund	\$175,574	6%
0200	Fish and Game Preservation Fund (FGPF)	\$1,904,463	60%
0207	Fish and Wildlife Pollution Account	\$18,081	1%
0320	Oil Spill Prevention & Administration Fund	\$464	1%
0321	Oil Spill Response Trust Fund	\$275	1%
0647	Marine Life & Management Reserve Account	\$96	1%
0890	Federal Trust Fund	\$10,882	0%
6018	Costal Watershed Salmon Habitat Sub Account	\$23,706	1%
0000	Administration	\$31,504	1%
	Total	\$3,175,673	100.00%

E. Personal Services Expenditures by Fund 0200 Breakout – 5 Items - 10% or More in Red

0200.01	FGPF Non Dedicated	\$ 99,250	6%
0200.09	State Duck Stamp Account – Dedicated	\$1,003	0%
0200.14	Lake & Streambed Alteration Account – Dedicated	\$1,799,418	94%
0200.25	Wild Pig Account – Dedicated	\$3,550	0%
0200.26	Upland Game Bird Account – Dedicated	\$1,830	0%
0200.18	Endangered and Rare Fish, Wildlife and Plant Species Conservation Enhancement- Dedicated Account	\$855	0%
	Total	\$1,905,905	100.00%

F. Program/Element Breakdown – 10% or More in Red

PRGM	ELE	DESCRIPTION	EXPENDITURE	Percent
20	10	Multi-Species and Habitat Conservation Planning	\$2,833,674	95%
20	20	Biodiversity (WL & HBTT) Protection and Restoration	\$158,070	5%
		Sub Total	\$2,991,744	100%
40	10	Conservation Education	\$118,102	100%
40	20	Enforcement and Public Safety	\$0	0%
		Sub Total	\$118,102	100%
20/10, 20/20 & 40/10, 40/20 Combined		Total	\$3,109,846.00	98%
Other Programs & Elements		Total	\$65,827	2%
		Grand Total	\$3,175,673	100%

G. Personal Services Expenditures by Organization – 11 Items - 10% or More in Red

Administration	\$ 272	0%
Central Coast Region	\$719,598	23%
Eastern Sierra Inland Deserts Region	\$210,090	7%
Habitat Conservation Division	\$164,243	5%
Marine Region	\$114	0%
Northern California North Coast Region	\$188,861	6%
Office Spill Prevention and Response	\$6,874	0%
Sacramento Valley Central Sierra Region	\$240,918	8%
San Joaquin Valley Southern Sierra Valley Region	\$183,831	6%
South Coast Region	\$582,224	18%
Bay Delta	\$0	0%
Wildlife and Inland Fisheries Division	\$878,647	28%
Total	\$3,175,673	100.00%

H. Personal Services Expenditures by Activity - 16 Items - 10% or More in Red

123110	Pre-Consultation	\$ 197,536	6%
123115	Agreement 1601 Public Agencies	\$509,422	16%
123120	Agreement 1601 Public Agencies Routine Maint.	\$44,142	1%
123125	Agreement 1603 Private	\$689,551	*22%
123130	Agreement 1603 Gravel	\$49,113	2%
123135	Agreement 1603/1606 Timber Harvest	\$145,074	5%
123140	Agreement 1601/1606 Extensions	\$10,865	0%
123145	Agreement 1601/1606 Amendments	\$11,056	0%
123150	Agreement 1601/1603 Unusual Projects	\$36,829	1%
123155	CEQA Public Review Process – Lead Agency	\$70,077	2%
123160	Monitoring and Compliance	\$42,646	1%
123165	Mitigation Effectiveness Studies	\$100	0%
123170	Direct Clerical Support	\$337,503	11%
123175	General Administration, Operational Planning	\$318,175	10%
123195	Not Valid Activity for FY 01/02	\$00.00	0%
123199	Training and Technical Support	\$157,647	5%
421511	Agreement Enforcement Laws & Regulations	\$555,935	18%
	Total	\$3,175,673	100.00%

I. PCA BREAKDOWN – 10% or More in Red		Expend	PGM	ELE	
A1000	Multi-Species & HBTT CNSRV – Base F&GPF	\$488	20	10	0%
A1001	Multi-Species & HBTT CNSRV – Base GF	\$715,138	20	10	23%
A1011	NATL DVRSTY D B ELPF Staff CVRT PJCT	\$1,078	20	10	0%
A1014	Multi-Species & HBTT CNSRV – Base ELPF	\$34,957	20	10	1%
A1015	Environmental review ELPF Project	\$75,157	20	10	2%
A1016	Other ELPF Projects	\$12,869	20	10	0%
A1214	Streambed Alteration Dedicated Account	\$1799,418	20	10	57%
A1502	USBR/DWR IEP Young Fish Investigation	\$154	20	10	0%
A1514	USFWS Merced River Instream Flow Study	\$32	20	10	0%
A1704	Tuscarora Gas Transmission Pipeline PH2	\$12	20	10	0%
A1714	DWR San Joaquin River MGMT Program Plan	\$5,555	20	10	0%
A1724	DWR San Joaquin River MGMT Program Plan	\$108	20	10	0%
A1734	CalTrans-DFG Liaison (Multi_SVC)	\$23,066	20	10	1%
A1742	CalTrans State Route 41 Mitigation	\$1,428	20	10	0%
A1760	DWR State Water Project	\$15,035	20	10	0%
A1797	CalTrans R9985017	\$149,258	20	10	5%
A1799	SMSCG Modifications R9985508	\$777	20	10	0%
A2000	BIODVRSTY PRTCTN & RSTRTN – Base F&GPF	\$3,575	20	20	0%
A2001	BIODVRSTY PRTCTN & RSTRTN – Base GF	\$84,586	20	20	3%
A2014	BIODVRSTY PRTCTN & RSTRTN – Base ELPF	\$39,462	20	20	1%
A2018	WTR Bond Act Salmoid Restoration 3 year limited	\$23,706	20	20	1%
A2402	SFRA Stream and Lake	\$6,740	20	20	0%
B1000	Hunt, SPRT FSH & PBLC Use – Base F&GPF	\$1,093	25	10	0%
B1208	AUG DEER Tag Ded Acct – HNTGS{RT FSH/PU	\$269	25	10	0%
B1225	Wild Pig Ded Acct – HNTG/SPRT FSH & PU	\$3,550	25	10	0%
B3000	Providing Hunt & Fish OPPRTY – Base F&GPF	\$1,406	25	30	0%
B3209	Duck Stamp Ded Acct – PRVDNG HNTG/FSHG OP	\$1,003	25	30	0%
B3226	Game Bird HRTG Ded Acct – Hunt Fish Opps	\$1,830	25	30	0%
B3400	SFRA SPT FSH MGRT & RSC – HNTG/FSHG OPPS	\$2,113	25	30	0%
C1000	Dept Lands & Facilities - Base F&GPF	\$105	30	10	0%
D1400	SFRA Aquatic Education – NAT'L Heritage	\$3,913	40	10	0%
D1500	Hunter Education Expenditures	\$251	40	10	0%
D2001	Enforcement of Laws & Regs – Base GEN FD	\$127	40	20	0%
D2090	MLMA- Enforcement	\$96	40	20	0%
D2100	Law Enforcement - Generally	\$117,851	40	10	4%
E2021	Readiness – Base F&WPA – HZRD MTL ADMIN	\$11,011	50	20	0%
E2040	Readiness – Base Oil SPL RPVNTN & ADMIN	\$464	50	20	0%
F0714	Torch/Santa Lucia Creek Oil Spill Response	\$275	50	30	0%
H1214	American Landscaping Incident	\$592	50	30	0%
H1517	Tracy Fish Facility Incident	\$740	50	30	0%
H1601	Wine Creek Situation Incident	\$2,224	50	30	0%
H1635	Southern Cal Edison Incident	\$54	50	30	0%
H1704	ULYSSES Lolonis	\$1,766	50	30	0%
H1713	Snow Lake Vineyard NRDA	\$1,176	50	30	0%
H1736	M&P Meneses Dairy Incident	\$510	50	30	0%
H1752	Cloverdale City Incident	\$9	50	30	0%
N0300	Support Services – Administration	\$31,504	70	01	1%
	Total	\$3,175,673			100%

J. Program Regional Notifications - 10% or More in Red

R1 Northern California North Coast Region	596	21%
R2 Sacramento Valley Central Sierra Region	482	17%
R3 Central Coast Region	1034	36%
R4 San Joaquin Valley Southern Sierra Valley Region	192	7%
R5 South Coast Region	423	15%
R6 Eastern Sierra Inland Deserts Region	138	5%
R7 Marine Region	0	0%
Total	2865	100.00%

FY 02/03 1600 Program Information
Period July 1, 2002 – June 30, 2003

STATEWIDE

The following CALSTARS information is for FY 02/03. All direct expenditures include Personal Services and Operating Expenses. Overhead is estimated at 20% for Grand Total only.

A. Year End Expenditures

Total Reported Personal Services Expenditures	\$3,040,460
Total Reported Encumbrances	\$8,850
Sub Total	\$3,043,310
Total Estimated Overhead @ 20%	\$608,662
Expenditures Grand Total	\$3,651,972
Total Revenue	\$1,400,000
Short-fall	\$2,251,972

B. Program Expenditures

Total Reported Biodiversity Program 20 Expenditures	\$2,876,028	96 %
Total Reported Enforcement Program 40 Expenditures	\$112,008	4 %
Total Program Expenditures	\$2,988,033	100 %

C. Program Hours

Total Reported Biodiversity Program 20 Hours	83,469	85 %
Total Reported Enforcement Program 40 Hours	14,213	15 %
Total Program Hours	97,682	100 %

D. Personal Services Expenditures by Fund Type – 8 Items – 10% or More in Red

0001	General Fund	\$695,235	23%
0140	Ca Environmental License Plate Fund	\$501,123	16%
0200	Fish and Game Preservation Fund (FGPF)	\$1,801,400	59%
0207	Fish and Wildlife Pollution Account	\$37,809	1%
0320	Oil Spill Prevention and Administration Fund	\$66	0%
0321	Oil Spill Response Trust Fund	\$370	0%
0890	Federal Trust Fund	\$1,741	.5%
0942	Special Deposit Fund	\$94	0%
6018	Coastal Watershed Salmon Habitat Sub Account	\$5,493	.5%
6029	CA Clean Water Act	\$129	0%
	Total	\$3,040,460	100%

E. Personal Services Expenditures by Fund 0200 Breakout – 9 Items - 10% or More in Red

0200.01	FGPF Non Dedicated	\$14,756	1%
0200.14	Lake & Streambed Alteration Account – Dedicated	\$1,781,890	99%
0200.11	Bighorn Sheep (FGPF)	\$1,171	0%
0200.25	Wild Pig Account – Dedicated	\$2,588	0%
0200.26	Upland Game Bird Account – Dedicated	\$1,263	0%
	Total	\$1,801,668	100%

F. Program/Element Breakdown – 10% or More in Red

PRGM	ELE	DESCRIPTION	EXPENDITURE	Percent
20	10	Multi-Species and Habitat Conservation Planning	\$2,766,073	96%
20	20	Biodiversity (WL & HBTT) Protection and Restoration	\$109,955	4%
Sub Total			\$2,876,02	100%
40	10	Conservation Education	\$111,613	100%
40	20	Enforcement and Public Safety	\$395	0%
Sub Total			\$112,008	100%
20/10, 20/20 & 40/10, 40/20 Combined		Total	\$2,988,036	98%
Other Programs & Elements		Total	\$52,424	2%
Grand Total			\$3,040,460	100%

G. Personal Services Expenditures by Organization – 12 Items - 10% or More in Red

R1 Northern California North Coast Region	\$210,807	7%
R2 Sacramento Valley Central Sierra Region	\$199,202	6%
R3 Central Coast Region	\$763,843	25%
R4 San Joaquin Valley Southern Sierra Valley Region	\$183,487	6%
R5 South Coast Region	\$600,634	20%
R6 Eastern Sierra Inland Deserts Region	\$193,090	6%
R7 Marine Region	\$8	0%
Bay Delta	\$0	0%
Habitat Conservation Division	\$155,671	5%
Office Spill Prevention and Response	\$1,841	1%
Wildlife and Inland Fisheries Division	\$731,810	24%
Administration	\$66	0%
Total	\$3,040,460	100%

H. Personal Services Expenditures by Activity - 26 Items - 10% or More in Red

123110	Pre-Consultation	\$153,289	5%
123115	Agreement 1601 Public Agencies	\$476,659	16%
123120	Agreement 1601 Public Agencies Routine Maint.	\$26,333	1%
123125	Agreement 1603 Private	\$766,321	25%
123130	Agreement 1603 Gravel	\$75,675	2%
123135	Agreement 1603/1606 Timber Harvest	\$163,577	5%
123140	Agreement 1601/1606 Extensions	\$8,379	.5%
123145	Agreement 1601/1606 Amendments	\$16,242	1%
123150	Agreement 1601/1603 Unusual Projects	\$29,309	1%
123155	CEQA Public Review Process – Lead Agency	\$61,103	2%
123160	Monitoring and Compliance	\$66,481	2%
123165	Mitigation Effectiveness Studies	\$2,204	.5%
123170	Direct Clerical Support	\$332,203	11%
123175	General Administration, Operational Planning	\$299,246	10%
123199	Training and Technical Support	\$65,427	2%
421511	Agreement Enforcement Laws & Regulations	\$498,012	16%
Total		\$3,040,460	100%

I. PCA BREAKDOWN – 10% or More in Red		Expend	PGM	ELE	
A1000	Multi-Species & HBTT CNSRV – Base F&GPF	\$2,350	20	10	0%
A1001	Multi-Species & HBTT CNSRV – Base GF	\$353,281	20	10	12%
A1003	CALFED BCP#23 ENVIRON WTR ACCT - GF	\$46,770	20	10	2%
A1014	Multi-Species & HBTT CNSRV – Base ELPF	\$388,355	20	10	13%
A1015	Environmental review ELPF Project	\$49,405	20	10	2%
A1016	Other ELPF Projects	\$9,340	20	10	0%
A1120	Coho Salmon Study Funded from- Base FGPF	\$2,604	20	10	0%
A1214	Streambed Alteration Dedicated Account	\$1,781,890	20	10	59%
A1502	USBR/DWR IEP Young Fish Investiation	\$68	20	10	0%
A1714	DWR San Joaquin River MGMT Program Plan	\$5,552	20	10	0%
A1734	CalTrans-DFG Liaison (Multi_SVC)	\$8,551	20	10	0%
A1742	CalTrans State Route 41 Mitigation	\$84	20	10	0%
A1748	Delta Flood Project	\$182	20	10	0%
A1760	DWR State Water Project	\$4,485	20	10	0%
A1797	CalTrans R9985017	\$113,574	20	10	4%
A1799	SMSCG Modifications R9985508	\$0	20	10	0%
A2000	BIODVRSTY PRTCTN & RSTRTN – Base F&GPF	\$152	20	20	0%
A2001	BIODVRSTY PRTCTN & RSTRTN – Base GF	\$46,622	20	20	2%
A2014	BIODVRSTY PRTCTN & RSTRTN – Base ELPF	\$54,024	20	20	2%
A2018	WTR Bond Act Salmoid Restoration 3 year limited	\$5,493	20	20	0%
A2019	PROP 40 Salmon RSTRN 3 Yr Lt – Admin Cost	\$129	20	20	0%
A2100	Suisun Resource Conservation	\$889	20	20	0%
A2402	SFRA Stream and Lake	\$470	20	20	0%
A2408	SFRA & FG SPRT FSH MGMT – BIODVRSY P/R	\$2,174	20	20	0%
B1000	Hunt, SPRT FSH & PBLC Use – Base F&GPF	\$897	25	10	0%
B1208	AUG DEER Tag Ded Acct – HNTGS{RT FSH/PU	\$0	25	10	0%
B1211	Bighorn Sheep Dedicated Account	\$1,171	25	10	0%
B1225	Wild Pig Ded Acct – HNTG/SPRT FSH & PU	\$2,588	25	10	0%
B1400	SFRA Marine Sport Fish MGMT & RSRCH	\$8	25	10	0%
B3000	Providing Hunt & Fish OPPRTY – Base F&GPF	\$3,589	25	30	0%
B3209	Duck Stamp Ded Acct – PRVDNG HNTG/FSHG OP	\$0	25	30	0%
B3226	Game Bird HRTG Ded Acct – Hunt Fish Opps	\$1,263	25	30	0%
B3400	SFRA SPT FSH MGRT & RSC – HNTG/FSHG OPPS	\$3,109	25	30	0%
B3404	SFRA SPRT FSH MGMT & RSRCH Proactive FSHRE	\$1,728	25	30	0%
C1000	Dept Lands & Facilities - Base F&GPF	\$0	30	10	0%
D1500	Hunter Education Expenditures	\$0	40	10	0%
D2100	Law Enforcement - Generally	\$109,872	40	10	4%
D2350	NOAA OLE Joint ENFORC AGREE R0280007	\$1,741	40	10	0%
D2711	Lower Mokelumne RVR Partnership R0020013	\$395	40	20	0%
E1040	Prevention-Base Oil SPL PRVNTN & ADMIN	\$18	50	10	0%
E2021	Readiness – Base F&WPA – HZRD MTL ADMIN	\$13,549	50	20	0%
E2040	Readiness – Base Oil SPL RPVNTN & ADMIN	\$49	50	20	0%
F1098	Huntington Beach Mystery Oil RSPN Spill	\$370	50	30	0%
H1214	American Landscaping Incident	\$23,364	50	30	0%
H1601	Wine Creek Situation Incident	\$0	50	30	0%
H1704	ULYSSES Lolonis	\$914	50	30	0%
H1736	M&P Meneses Dairy Incident	\$0	50	30	0%
H1744	Bareilles Logging Incident	\$158	50	30	0%
H1745	Harwood Lumber Incident	\$80	50	30	0%
H1825	Dogwood Creek Incident	\$370	50	30	0%
N0300	Support Services – Administration	\$0	70	01	0%
P030	HBT MIT ENDW EXP-All American Pipe/Celeron	\$94	96		0%
	Total	\$3,040,460			100%%

J. Program Notifications - 10% or More in Red

R1 Northern California North Coast Region	506	16%
R2 Sacramento Valley Central Sierra Region	621	21%
R3 Central Coast Region	1049	35%
R4 San Joaquin Valley Southern Sierra Valley Region	146	5%
R5 South Coast Region	452	16%
R6 Eastern Sierra Inland Deserts Region	186	6%
R7 Marine Region	0	0%
Bay Delta	6	1%
Total	2966	100%

EXHIBIT C

LSAA NOTIFICATIONS

LSAA NOTIFICATIONS

FISCAL YEAR 02/03

Region	Notifications
R1 Northern California North Coast Region	466
R2 Sacramento Valley Central Sierra Region	612
R3 Central Coast Region	1020
R4 San Joaquin Valley Southern Sierra Valley Region	146
R5 South Coast Region	452
R6 Eastern Sierra Inland Deserts Region	186
Bay Delta	6

FISCAL YEAR 01/02

Region	Notifications
R1 Northern California North Coast Region	596
R2 Sacramento Valley Central Sierra Region	482
R3 Central Coast Region	1034
R4 San Joaquin Valley Southern Sierra Valley Region	192
R5 South Coast Region	423
R6 Eastern Sierra Inland Deserts Region	138
Bay Delta	2

FISCAL YEAR 00/01

Region	Notifications
R1 Northern California North Coast Region	583
R2 Sacramento Valley Central Sierra Region	571
R3 Central Coast Region	1073
R4 San Joaquin Valley Southern Sierra Valley Region	175
R5 South Coast Region	378
R6 Eastern Sierra Inland Deserts Region	196
Bay Delta	2

EXHIBIT D

LSAA FUND CONDITION REPORTS

DEPARTMENT OF FISH AND GAME
STREAMBED ALTERATION DEDICATED ACCOUNT

FUND CONDITION

Fund 200.14

as of
JUNE 30, 2001

Beginning Balance; July 1, 2000	169,668.59
Prior Year Adjustments:	
Reverted Approp.	0.00
PY Revenue	15,292.75
PY Reimbursements	0.00
PY Expenditures	(30,074.92)
PPY Expenditures	7,580.97
PY/PPY Capital Outlay	0.00
PY Transfers In/Out	0.00
Revenues	1,602,785.09
Interest Income	0.00
	0.00
	0.00
	0.00
Transfers In	0.00
Reimbursements	0.00
Expenditures:	
Support	(3,142,971.40)
Capital Outlay	0.00
	(1,377,718.92)
Ending Fund Balance (does not equate to spending authority)	(1,377,718.92)

COORDINATOR: John Anderson

FISH AND GAME CODE SECTION(S): 1600

PCA CODE(S): FY 2000-2001, 1999-2000: A1214, A1290, (Prorata PCA's N2203 & N2213)
 FY 1998-1999: 64030, 79542(prorata).

REVENUE CODE(S): FASB 125700.B8 Stream & Lake Alt Fees - Less than \$25k (1606)
 FASB 125700.B9 Stream & Lake Alt Fees - \$25k - \$500k (1601)
 FASB 125700.C1 Stream & Lake Alt Fees - Over 500k (1601)
 FASB 125700.C2 Stream & Lake Alt Fees - First 20 maint. projects

Fund 200.14

- FASB 125700.C3 Stream & Lake Alt Fees - Second 20 maint. projects
- FASB 125700.C4 Stream & Lake Alt Fees - Excess of 40 maint. projects
- FASB 125700.C5 Stream & Lake Alt Fees - Individual doing own work
- FASB 125700.C6 Stream & Lake Alt Fees - Less than \$25k (1603)
- FASB 125700.C7 Stream & Lake Alt Fees - \$25k - \$500k (1603)
- FASB 125700.C8 Stream & Lake Alt Fees - Over \$500k (1603)
- FASB 125700.C9 Stream & Lake Alt Fees - Gravel operations
- FASB 125700.D5 Timber Harvest Appl - 1 or 2 encroachments
- FASB 125700.D6 Timber Harvest Appl - 3 or 4 encroachments
- FASB 125700.D7 Timber Harvest Appl - 5 or 6 encroachments
- FASB 125700.D8 Timber Harvest Appl - 10 or more encroachments
- FASB 125700.D9 Stream & Lake Alt Fees - Renewal of 1601/1603
- FASB 125700.E1 Stream & Lake Alt Fees - Unusual Projects
- FASB 125700.L8 Amendments to 1601/1603 Agreements

DEPARTMENT OF FISH AND GAME
STREAMBED ALTERATION DEDICATED ACCOUNT
 FUND CONDITION

Fund 200.14

as of
JUNE 30, 2002

Beginning Balance;	July 1, 2001	(1,377,718.92)
Prior Year Adjustments:		
	Reverted Approp.	0.00
	PY Revenue	57,483.50
	PY Reimbursements	0.00
	PY Expenditures	(79,640.19)
	PPY Expenditures	17,281.38
	PY/PPY Capital Outlay	0.00
	PY Transfers In/Out	0.00
Revenues		,376,763.70
Interest Income		0.00
		0.00
		0.00
		0.00
Transfers In		0.00
Reimbursements		
Expenditures:		
	Support	(2,965,470.03)
	Capital Outlay	
Ending Fund Balance	(does not equate to spending authority)	(2,971,300.56)

COORDINATOR: Nick Villa

FISH AND GAME CODE SECTION(S): 1600 - 1607

PCA CODE(S): FY 2001-2002, 2000-2001, 1999-2000: A1214, A1290, (Prorata PCA's N2203 & N2213)

REVENUE CODE(S): FASB 125700.B8 Stream & Lake Alt Fees - Less than \$25k (1606)
 FASB 125700.B9 Stream & Lake Alt Fees - \$25k - \$500k (1601)
 FASB 125700.C1 Stream & Lake Alt Fees - Over 500k (1601)
 FASB 125700.C2 Stream & Lake Alt Fees - First 20 maint. projects

Fund 200.14

- FASB 125700.C3 Stream & Lake Alt Fees - Second 20 maint. projects
- FASB 125700.C4 Stream & Lake Alt Fees - Excess of 40 maint. projects
- FASB 125700.C5 Stream & Lake Alt Fees - Individual doing own work
- FASB 125700.C6 Stream & Lake Alt Fees - Less than \$25k (1603)
- FASB 125700.C7 Stream & Lake Alt Fees - \$25k - \$500k (1603)
- FASB 125700.C8 Stream & Lake Alt Fees - Over \$500k (1603)
- FASB 125700.C9 Stream & Lake Alt Fees - Gravel operations
- FASB 125700.D5 Timber Harvest Appl - 1 or 2 encroachments
- FASB 125700.D6 Timber Harvest Appl - 3 or 4 encroachments
- FASB 125700.D7 Timber Harvest Appl - 5 or 6 encroachments
- FASB 125700.D8 Timber Harvest Appl - 10 or more encroachments
- FASB 125700.D9 Stream & Lake Alt Fees - Renewal of 1601/1603
- FASB 125700.E1 Stream & Lake Alt Fees - Unusual Projects
- FASB 125700.L8 Amendments to 1601/1603 Agreements

DEPARTMENT OF FISH AND GAME
STREAMBED ALTERATION DEDICATED ACCOUNT

FUND CONDITION

Fund 200.14

as of
JUNE 30, 2003

Beginning Balance;	July 1, 2002	(2,953,192.08)
Prior Year Adjustments:		
	Reverted Approp.	0.00
	PY Revenue	234,993.21
	PY Reimbursements	0.00
	PY Expenditures	(80,195.32)
	PPY Expenditures	11,891.86
	PY/PPY Capital Outlay	0.00
	PY Transfers In/Out	0.00
Revenues		1,400,343.48
Interest Income		0.00
Transfers In		0.00
Reimbursements		0.00
Expenditures:		
	Support	(3,096,828.52)
	Capital Outlay	0.00
Ending Fund Balance	(does not equal to spending authority)	(4,482,987.37)

COORDINATOR: Nick Villa

FISH AND GAME CODE SECTION(S): 1600 - 1607

PCA CODE(S): FY 2002-2003, 2001-2002: A1214; 2000-2001: A1214, A1290
(Prorate PCA's N2203 & N2213)

REVENUE CODE(S):

- FASB 125700.B8 Stream & Lake Alt Fees - Less than \$25k (1608)
- FASB 125700.B9 Stream & Lake Alt Fees - \$25k - \$500k (1601)
- FASB 125700.C1 Stream & Lake Alt Fees - Over 500k (1601)
- FASB 125700.C2 Stream & Lake Alt Fees - First 20 maint. projects
- FASB 125700.C3 Stream & Lake Alt Fees - Second 20 maint. projects
- FASB 125700.C4 Stream & Lake Alt Fees - Excess of 40 maint. projects
- FASB 125700.C5 Stream & Lake Alt Fees - Individual doing own work
- FASB 125700.C6 Stream & Lake Alt Fees - Less than \$25k (1603)
- FASB 125700.C7 Stream & Lake Alt Fees - \$25k - \$500k (1603)
- FASB 125700.C8 Stream & Lake Alt Fees - Over \$500k (1603)
- FASB 125700.C9 Stream & Lake Alt Fees - Gravel operations
- FASB 125700.D5 Timber Harvest Appl - 1 or 2 encroachments
- FASB 125700.D6 Timber Harvest Appl - 3 or 4 encroachments
- FASB 125700.D7 Timber Harvest Appl - 5 or 6 encroachments
- FASB 125700.D8 Timber Harvest Appl - 10 or more encroachments
- FASB 125700.D9 Stream & Lake Alt Fees - Renewal of 1601/1603
- FASB 125700.E1 Stream & Lake Alt Fees - Unusual Projects
- FASB 125700.L8 Amendments to 1601/1603 Agreements

EXHIBIT E

1600 PROJECTED REVENUE AND WORKLOADS FOR FY 2004-05

**LAKE AND STREAMBED ALTERATION AGREEMENT PROGRAM
PROJECTED REVENUES AND WORKLOAD FOR FY 04/05**

FEE CATEGORY	BASE FEE	COUNT	PROJECTED REVENUE
(a) Standard Agreement, excluding Agreements for Gravel, Rock, or Sand Extraction and Agreements for Timber Harvesting.			
(1) Fee:			
(A) \$250 for each activity over one identified in the notification, plus one of the following base fees:			
1. \$250 for projects costing less than \$5,000.	\$250	674	\$168,500
2. \$300 for projects costing 5,000 to \$10,000.	\$300	246	\$73,800
3. \$600 for projects costing \$10,000 to \$25,000.	\$600	400	\$240,000
4. \$1,000 for projects costing \$25,000 to \$100,000.	\$1,000	336	\$336,000
5. \$1,500 for projects costing \$100,000 to \$200,000.	\$1,500	115	\$172,500
6. \$2,000 for projects costing \$200,000 to \$350,000.	\$2,000	113	\$226,000
7. \$3,000 for projects costing \$350,000 to \$500,000.	\$3,000	59	\$177,000
8. \$5,000 for projects costing over \$500,000.	\$5,000	503	\$2,515,000
(2) A standard agreement may be a regular or long-term agreement.			
(3) For the purpose of this subdivision, project cost means the total cost of all of those activities identified in the notification that are subject to subdivision (a) of Section 1602 of the Fish and Game Code.			
(b) Agreement for Gravel, Sand, or Rock Extraction.			
(1) Fee:			
(A) \$1,000 if annual extraction volume identified in the notification is less than 1,000 cubic yards.	\$1,000	15	\$15,000
(B) \$2,500 if annual extraction volume identified in the notification is 1,000 to 5,000 cubic yards.	\$2,500	10	\$25,000
(C) \$5,000 if annual extraction volume identified in the notification is over 5,000 cubic yards.	\$5,000	35	\$175,000
(2) An agreement for gravel, sand, or rock extraction may be a regular or long-term agreement.			
(c) Agreement for Timber Harvesting.			
(1) Fee:			
(A) \$1,500 base fee, plus:	\$1,500	206	\$309,000
1. \$100 for each activity if the notification identifies 1 to 2 activities.		80	\$8,000
2. \$150 for each activity if the notification identifies 3 to 4 activities.		39	\$5,850
3. \$200 for each activity if the notification identifies 5 to 9 activities.		46	\$9,200
4. \$250 for each activity if the notification identifies 10 or more activities.		41	\$10,250
(2) An agreement for timber harvesting may be a regular or long-term agreement.			
(d) Notwithstanding subdivisions (a), (b), and (c), the total fee charged for a regular agreement shall not exceed \$5,000. The total fee charged for a long-term agreement may exceed \$5,000.			

(E) Agreement for routine maintenance.			
(1) Fee:			
(A) \$1,500 base fee, plus:	\$1,500	22	\$55,000
1. \$250 each for the first 15 maintenance activities per calendar year identified in the notification.		372	\$93,000
2. \$200 each for the second 15 maintenance activities per calendar year identified in the notification.		65	\$13,000
3. \$150 each for maintenance activities in excess of 30 per calendar year identified in the notification.		137	\$20,550
(2) An agreement for routine maintenance may only be a long-term agreement.			
(f) Master Agreement.			
(1) Fee:			
(A) \$5,000 base fee, plus \$250 for each activity identified in the notification.	\$5000	1	\$5,000
	\$250	10	\$2,500
(2) A master agreement may only be a long-term agreement.			
(g) Extensions for Regular and Long-Term Agreements.			
(1) Fee:			
(A) 50% of the total fee paid for the original agreement or \$250, whichever is greater.	50% full fee	567	\$97,925
(2) The fee specified in this section shall be submitted with the request for an extension.			
(3) For the purpose of this subdivision and subdivision (i)			
(4) If an entity includes the proper extension fee with the extension request and the agreement is both amended and extended, the Department shall not charge the entity the amendment fee specified in subdivision (i).			
(h) Status Report.			
(1) Fee:			
(A) 50% of the total fee paid for the original agreement.	50% full fee	0	\$0
(2) The fee specified in paragraph (1) shall be submitted with the status report.			
(i) Amendments to Regular and Long-Term Agreements.			
(1) Fee:			
(A) 50% of the total fee paid for the original agreement or \$250, whichever is greater.	50% full fee	72	\$58,400
(j) Reinstatement of Regular or Long-Term Agreement After Suspension.			
(1) Fee			
(A) 50% of the total fee paid for the original agreement, not to exceed \$1,000.	50% full fee, not to exceed \$1,000	0	\$0
(k) California Environmental Quality Act ("CEQA").			
(1) When the Department is required to act as lead agency in administering or enforcing Sections 1600–1616 of the Fish and Game Code, the Department may charge and collect a reasonable fee from the entity to recover its estimated CEQA-related costs in accordance with Section 21089 of the Public Resources Code. The	Actual cost	17	\$17,000

Department may recover its estimated CEQA-related costs by collecting from the entity one or more deposits. The Department shall refund any unused deposit to the entity.

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EXHIBIT F

COMPARISON OF EXISTING AND PROPOSED FEE SCHEDULES

**COMPARISON OF EXISTING AND PROPOSED FEE SCHEDULE
(CAL. CODE REGS., TIT. 14, § 699.5)**

Existing Fee Schedule		Proposed Fee Schedule	
“1601 Applications (from Public Agencies)”/“1603 Applications (Private)”		“1602 Applications”	
Base Fee (Flat)	Additional Fee (Based on Project Cost)	Base Fee (Based on Project Cost)	Additional Fee (For Each Additional Activity After First)
\$154	< \$25,000 = \$0	< \$5,000 = \$250 \$5,000–10,000 = \$300 \$10,000–25,000 = \$600	\$250
	\$25,000–500,000 = \$618.75	\$25,000–100,000 = \$1,000 \$100,000–200,000 = \$1,500 \$200,000–350,000 = \$2,000 \$350,000–500,000 = \$3,000	
	>\$500,000 = \$1,236.50	>\$500,000 = \$5,000	
“1603 Applications - Commercial Gravel Operations”		“Gravel, Sand, or Rock Extraction” (Based on Annual Extraction)	
\$618.75		< 1,000 cubic yards = \$1,000	
		1,000–5,000 cubic yards = \$2,500	
		> 5,000 cubic yards = \$5,000	

Existing Fee Schedule		Proposed Fee Schedule	
“1603/1606 Applications – Timber Harvest”		“Timber Harvesting”	
Base Fee	Additional Fee (Based on Number of Stream Encroachments)	Base Fee	Additional Fee (Based on Number of Activities)
\$0	1–2 = \$618.75	\$1,500	1–2 = \$100.00
	3–4 = \$773.00		3–4 = \$150.00
	5–9 = \$927.00		5–9 = \$200.00
	≥10 = \$1,031.00		≥10 = \$250.00
“1601 Routine Maintenance Activities”		“Routine Maintenance”	
Base Fee	Additional Fee (Based on Number of Maintenance Projects)	Base Fee	Additional Fee (Based on Number of Maintenance Activities)
\$0	1 st 20 = \$129.50	\$1,500	1 st 15 = \$250
	2 nd 20 = \$102.75		2 nd 15 = \$200
	> 40 = \$78.25		> 30 = \$150

Existing Fee Schedule	Proposed Fee Schedule
“One Year Time Extensions for 1601/1603 Agreements”	“Extensions for Regular and Long-Term Agreements”
\$127.25	50% of the total fee paid for the original agreement or \$250, whichever is greater
“Amendments to 1601/1603 Existing Agreements”	“Amendments to Regular and Long-Term Agreements”
50% of the fee of the existing agreement	50% of the total fee paid for the original agreement, not to exceed \$1,000

New Fee Categories Based on Legislation	
“Master Agreement”	
Base Fee	Additional Fee (Based on Each Activity)
\$5,000	\$250
“Status Report”	
50% of the total fee paid for the original agreement	
“Reinstatement of Regular and Long-Term Agreement After Suspension”	
50% of the total fee paid for the original agreement, not to exceed \$1,000	